

ELKHEAD DAM/RESERVOIR FISH SEPARATION PRELIMINARY DESIGN REPORT

Prepared for

**City of Craig
300 West 4th Street
Craig, Colorado 81625**

3223 Arapahoe Avenue; Suite 315
Boulder, Colorado 80303
(303)938-8874, FAX (303)938-8211

Ayres Project No. 35-0119.00
ELK9TXTfinal.DOC

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TABLE OF CONTENTS

EXECUTIVE SUMMARY	iii
Background	1
General	1
Project History.....	1
Endangered Fish.....	3
Fish Separation at Elkhead Dam/Reservoir	5
Setting the Stage	5
Sport/Endangered Fish Separation Guidelines and Standards	5
Application of Guidelines and Standards	7
Configuration of Alternatives	7
Description of Alternatives.....	8
Alternative 1 - Net Only - Rehabilitation Project.....	8
Alternative 2 - Cylinder Screens and Net - Rehabilitation Project	8
Alternative 3 - Net Only- Rehabilitation Project	9
Enlargement Project.....	9
Alternatives not Evaluated in Detail	10
Fish Graters or Comminutors	10
Enlarged Primary Outlet	10
Drum Screens	11
Higher Velocity Net.....	11
Alternative Variations	12
General.....	12
Net Variable Size Mesh Opening	12
Electrical Barrier	12
Combination Systems.....	12
Alternatives Cost Evaluation	13
General	13
Assumptions	13
Items not Included.....	14
Construction Cost Estimates - Rehabilitation Project	15
Alternative 1 - Net (2.38-mm) Only - Rehabilitation Project.....	15
Alternative 2 - Cylinder Screens and Net (6.35-mm) - Rehabilitation Project	16

Alternative 3 - Net (6.35-mm) Only - Rehabilitation Project.....	17
Cost Summary.....	17
Enlargement Project.....	17
Management Issues	18
Reservoir Management Practices	18
Additional Investigatons.....	18
Conclusions.....	19
Recommendations.....	20
References	21
APPENDIX A.....	--
APPENDIX B.....	--
APPENDIX C.....	--

EXECUTIVE SUMMARY

A rehabilitation or enlargement of Elkhead Dam/Reservoir will likely involve a requirement for fish management to protect threatened and endangered fish species in the Yampa River. Fish management covers a wide variety of activities, most of which are at least partially described in this report. Fish management as applied to Elkhead Dam/Reservoir stresses physical fish separation and non-structural management technologies as is further described herein. The primary initial objective of this evaluation was to more completely configure fish separation features needed for a rehabilitation project. It was subsequently expanded to cover a rehabilitation project combined with a 15-foot dam raise enlargement.

Three alternatives were evaluated for fish separation. Alternative 1 consists of a 2.38-mm net extending the full water depth of the reservoir, positioned in front of both the service spillway and primary outlet structure. Alternative 2 consists of 2.38-mm cylinder screens mounted on the primary outlet structure inlet manifold paired with a 6.35-mm net extending the full water depth of the reservoir, positioned in front of the service spillway. This second alternative is the same as recommended in the Miller (1997) report. Alternative 3 consists of a 6.35-mm net extending the full water depth of the reservoir, positioned in front of the both the service spillway and primary outlet structure. This third alternative is similar to the fish separation net constructed at Highline Dam west of Grand Junction, Colorado. Construction and annual cost information together with non-cost considerations for each of these alternatives provides the basis for a decision to be made on the fish separation alternative for Elkhead Dam/Reservoir.

An alternative of a net only, Alternative 1 or Alternative 3, is the expressed preference of the project advisory committee. Of these two alternatives, only Alternative 1, a 2.38-mm net, meets the established technical criteria for fish separation. As such we recommend implementation of Alternative 1 for either the dam rehabilitation or enlargement project. Uncertainties are involved with the implementation of Alternative 1 and as such this alternative should be considered experimental. Although the technology for this net is available, a net of this material, this large in size and with an opening this small has never been manufactured. This results in uncertainties in net characteristics including percent open area, allowance for clogging and manufacturing logistics. These uncertainties can affect the positioning and area of the net and its ancillary components, thereby impacting the net costs. Since this type of fish separation is still in the research and development phase, there is a risk of implementing this solution while some of the details are still being worked out. This alternative for a rehabilitation project is estimated to have a construction cost of approximately \$900,000 and an equal annual cost of approximately \$280,000. These costs are in the same general range of the other two rehabilitation alternatives. This alternative for an enlargement project is estimated to have a construction cost of approximately \$950,000.

BACKGROUND

General

Elkhead Dam creates a 13,700 acre-foot reservoir on Elkhead Creek approximately 3 miles upstream of its confluence with the Yampa River in northwest Colorado. The dam and reservoir were constructed by and are owned by the State of Colorado, acting through its Division of Wildlife (DOW). A need to rehabilitate the dam has been identified and a separate proposal has been made to combine a dam raise with the rehabilitation. The Yampa River contains populations of three endangered fish species whose well being must be addressed before rehabilitation or new construction on the dam occurs. This report and Appendix A describe the project history and the endangered fish issue.

Project History

Elkhead Dam/Reservoir was constructed in 1974 as a cooperative undertaking of the DOW and the Yampa Participants as a multiple purpose reservoir. DOW owns and has operation and maintenance responsibility for the project. In 1979 the National Dam Safety Program (USACOE 1980) determined that the dam had a hydraulically inadequate spillway, this means that the spillway would have to be replaced or enlarged, at a significant cost. Several enlargement/rehabilitation studies were conducted between 1979 and 1990. Until March of 1999 solving the inadequate spillway issue had been an integral part of the many subsequent studies. In this time period, neither resolution nor enforcement action had been taken to achieve compliance with the inadequate spillway issue. In 1985, plans and specifications were prepared for a dam raise and auxiliary spillway construction. These documents were submitted for approval to the Colorado Office of the State Engineer (SEO). It was around this time that a Colorado Water Conservation Board (CWCB) loan request was made, presumably to assist with the construction of the 1985 project under review. We have not been able to ascertain the results of the SEO review process (nor have we ever found copies of the plans and specifications which were submitted for review), and the designed project never proceeded to construction for unknown reasons.

In 1990, the City of Craig (City) entered an agreement (Transfer Agreement) with the DOW to acquire the dam and reservoir in 5 years. The Transfer Agreement was accompanied by a supplemental agreement between the City, DOW, and the Yampa participants, which describes the responsibility of each party as is related to the ownership transfer. The agreements are quite complex. The required conditions for ownership transfer were not met within the original 5 years. The agreements were extended for another 5 years, and that extension expired in August 2000. A second extension of 3 years continues the Transfer Agreement until August 2003.

Between 1990 and 1993 a study known as the "Yampa River Basin Alternatives Feasibility Study" (Hydrosphere 1993) was conducted for the Colorado Endangered Fish Recovery Program by the Colorado River Water Conservation District (CRWCD) and the CWCB. The study was performed by Hydrosphere Resource Consultants and personnel now employed by Ayres Associates. The study examined current and projected water needs in the basin and evaluated a number of sites for development of water storage. It concluded that expansions of Elkhead Reservoir and Stagecoach Reservoir are the short-term and long-term storage options, respectively.

In 1995, the "Yampa River Basin Recommended Alternative Detailed Feasibility Study" (Hydrosphere 1995) was completed by the same Hydrosphere team. The objectives of this study were to follow up on the recommendations of the previous study, specifically the enlargement of Elkhead Reservoir. Following the 1995 feasibility study, Ayres Associates completed a detailed, inflow design flood (IDF) hydrology study (Ayres 1997) of Elkhead Dam/Reservoir for CRWCD in preparation for addressing the enlargement, the inadequate spillway, and other rehabilitation issues. This hydrology study was completed and filed with the State Engineer in April 1997. A subsequent decision was made not to pursue enlargement of Elkhead. This left the inadequate spillway issue and other rehabilitation needs unresolved.

A rehabilitation project was still needed since the spillway enlargement and other defined improvements would not now be accomplished as part of an enlargement project. The "storage enlargement" based hydrology report (100 percent PMP based IDF) (Ayres 1997) was therefore withdrawn from consideration in 1997 and replaced with a "non-storage enlargement" dam rehabilitation hydrology report (75 percent PMP based IDF) (Ayres 1999). To objectively revisit the non-storage enlargement options, Ayres completed "Elkhead Dam/Reservoir Projects Updating" (Ayres 1998). That report updates concepts and costs of previous relevant studies on a comparable basis to allow the City, DOW, and the Yampa Participants to review the Transfer Agreement and confirm its continued validity (or to set the stage for mutually acceptable modifications) and to consider which of the several previously studied options best describes the "non-storage enlargement" dam rehabilitation. In March 1999, the "non-storage enlargement" dam rehabilitation hydrology report was approved by the SEO. The 1999 report documented that the current spillway was actually hydraulically adequate reversing the 1979 (USACOE, 1980) finding that the spillway was inadequate. Since the spillway would not now have to be replaced or enlarged to increase its hydraulic capacity, the single largest cost of dam rehabilitation had been eliminated. This enabled the City to proceed with preliminary design of the fifth option (rehabilitation) described in the updating report (Ayres 1998). The City, with the knowledge and cooperation of the Yampa Participants, then authorized Ayres Associates to proceed with the rehabilitation preliminary design in order to accomplish the following objectives:

1. Establish a firm basis for the accomplishment of the rehabilitation described in the Transfer Agreement and as necessary for the City to take over the dam/reservoir in good operating condition free of any short term rehabilitation needs.
2. More clearly define the precise scope of rehabilitation.
3. Provide a preliminary construction cost estimate to replace earlier versions which had either a different scope of work, were outdated, or were done on a comparative versus absolute basis.
4. Establish a basis for City Council review of the Transfer Agreement with respect to its closure, continuation, or termination.

Several months after the draft preliminary design report (Ayres 2000) request was submitted, a renewed proposal to enlarge Elkhead was made by local water development interests. The preliminary design report remains incomplete while the new enlargement proposal is under investigation.

Endangered Fish

A unique ecological condition is both impacted by and impacts Elkhead Dam/Reservoir. Elkhead Creek is tributary to the Yampa River. In a general evolution of the Yampa and its tributaries, non-native (primarily sport) fish are replacing native species. In addition, the Yampa River contains the pike minnow, humpback chub, and the razorback sucker fish, which are identified as "endangered" and protected by the United States Endangered Species Act (ESA) of 1973 (Public Law 93-205). For a summary of the ESA and its application to this project, refer to Appendix A. As such, most of the Yampa River, including the reach downstream of the confluence with Elkhead Creek is designated as critical habitat. These Federal designations carry a limitation on acts that could restrict recovery of these fish (Section 7) and the intentional or accidental take of these fish (Section 9). Activation of either of these sections could initiate close Federal and State intervention/oversight of even seemingly straightforward dam rehabilitation construction-related activity. Federal and State involvement would certainly occur in the case of a reservoir enlargement.

The "Recovery Implementation Program for Endangered Fish Species in the Upper Colorado River Basin" (Recovery Program) is a recovery program that was established to assist the recovery of native species, particularly those threatened or endangered, in their native habitat. Certain non-native fish species (some of which are sport fish) have been identified as competitors with these endangered fish and it has been established that the control of non-native fish populations are a necessary part of the recovery program. In particular, it is thought that chronic escapement of non-native fish from off-channel impoundments is associated with mortality or competition that may limit recruitment of endangered fish. The following sections of the Recovery Action Plan for the Colorado River mainstream and Yampa/Little Snake Rivers describe this specifically:

- III. Reduce negative impacts of non-native fish and sport fish management activities (non-native and sport fish management)
 - III.A.2. Identify and implement viable control measures
 - III.A. (c). Implement and evaluate the effectiveness of viable active control measures
 - III.B. Reduce negative impacts to endangered fish from sport fish management activities
 - III.B.2. Evaluate control options and implement control of non-native fish escapement from Elkhead Reservoir.

To achieve the objective of preventing escapement while providing recreational fisheries opportunities, the "Procedures for Stocking Non-native Fish Species in the Upper Colorado River Basin" (U.S.D.I 1996) was developed. That document describes, among other things, the basic guidelines for separating non-native and endangered fish.

Elkhead Reservoir has been managed for sport fishing (it is widely believed to have been the original source of the now large population of northern pike in the Yampa River) and related recreational activities. Since it has been decided that this recreational resource is valuable to the public and should be maintained, there is a corresponding need to prevent the non-native fish from escaping this reservoir into the Yampa River, which is occupied by the endangered species. Implementing measures to prevent such escapement can be voluntary or could be mandated by regulatory entities. While there is no current requirement to implement fish separation measures at Elkhead, a dam rehabilitation or enlargement project could trigger one, adding significantly to the project cost. Accordingly, an effort was

undertaken to evaluate both management and physical means for separating fish at this location.

The need for fish separation was first acknowledged in the "Yampa River Basin, Recommended Alternative" report (Hydrosphere, 1995) which identified water storage sites in the upper basin, but at that time, little was known about the specifics of what this fish separation might entail. The concepts and costs presented in that report were simply a "place holder" or an acknowledgment that a need for fish separation was expected. The subsequent "Upper Colorado River Basin Implementation Program Feasibility Evaluation of Non-native Fish Control Structures," report (Miller, 1997) completed by Miller Ecological and Ayres Associates describes the feasibility of implementing fish separation in general and specifically at Elkhead Dam/Reservoir. The Miller report described several prototype fish separation facilities for Elkhead Dam/Reservoir. The design criteria have changed somewhat since that report was published and the resulting changes to the fish separation facilities have not been re-evaluated. Miller's (1997) discussion of screening to "current industry practice," which, at the time of the report, consisted of a 6.35-mm net protecting the service spillway and a 2.38-mm cylinder screen system protecting the primary outlet for flow events up to a 100-year frequency, comes closest to meeting current standards. The updated minimum construction cost of that facility is approximately \$1 million.

The Recovery Program has been active in studying these complex fish competition and species recovery issues and have undertaken several "experimental" constructed projects (levee removals and a fish net at Highline Reservoir, for example). Physical fish separation as a part of this program is still a developing area as is the general implementation of separation requirements on other problematic public and private facilities. As such, the Recovery Program has not formalized specific separation criteria nor officially identified projects where separation will be required. As the criteria and locations become formally established, there will probably be a shift from Recovery Program funding (as is currently occurring) of selected "experimental" separation projects to a separate funding program for implementing larger numbers of constructed projects.

As part of the rehabilitation preliminary design (Ayres 2000), the need to implement fish separation measures as described in the Miller report (1997) was identified but not further evaluated. We did not include any such measures in the scope of work because of the high cost, because fish separation was not mentioned in the Transfer Agreement, and because separation is not institutionally required. The larger project that includes rehabilitation and enlargement, which is just now getting underway, would certainly involve an institutional requirement for fish separation.

FISH SEPARATION AT ELKHEAD DAM/RESERVOIR

Setting the Stage

Considering the attention this project has received, its prospective funding, its location within endangered species designated critical habitat, the prospective need for a project EA or EIS, and/or the prospective need for a 404 permit, it is probably safe to conclude that fish control or separation facilities will be required in both a dam rehabilitation and an enlargement project. Since fish separation facilities are still experimental, since Elkhead Dam/Reservoir has distinctive physical characteristics, which differ from Highline Dam/Reservoir (where a separation net exists), and since new information is available to update the Miller report (1997), it was decided that a conceptual/preliminary design was needed to fully address the fish separation issue at Elkhead Dam/Reservoir. In addition, information on the nature and cost of such a facility is important to both the current and prospective owners of Elkhead Dam/Reservoir.

It is important to note that the reservoir is not currently managed, nor in recent years has it been managed for non-native fish that potentially compete with the endangered fish. That is to say, no non-native fish, other than trout (which don't compete with the endangered species), have been stocked in the reservoir. There is a small population of warm water fish both in the reservoir and downstream. This is likely a remnant population from historical stocking, reproduction and/or unauthorized private stocking. It has not been established whether or not the current non-native fish population is at a significant strength (size and population) to jeopardize downstream endangered fish via escapement from Elkhead. There is pressure to renew the practice of active management of Elkhead Reservoir for warm water fish including the translocation of northern pike removed from the Yampa River to Elkhead Reservoir. This could not be done without initiating fish control or separation measures at the reservoir. There is no current proposal to routinely eradicate sport fish from Elkhead Reservoir or to avoid the need for fish separation devices altogether, and evaluation of such a proposal is not part of the current scope of work.

Sport/Endangered Fish Separation Guidelines and Standards

The first effort to further define what is meant by reducing the impact of and controlling non-native fish as mentioned in the Recovery Action Plan was the aforementioned Miller report (1997). The emphasis of that report was on separating sport and endangered fish to keep the conflict from worsening, to possibly initiate endangered fish recovery, and preserve and enhance a sport fishery. Other controls exist of course, but are not the subject of the Miller report. The report addressed separation means in general and applied them to the Highline and Elkhead Reservoir projects in Colorado as experimental prototype applications. The report identified three basic separation criteria:

Frequency - The period of years, on the average, for which separation measures should be functionally effective without structural failure or exceeding the design capacity

Size - The minimum dimension of a potentially competing biological unit (passive or active) which should remain separate.

Reliability – The success of the separation in terms of the percent of the size of the biological units able to pass the separation barrier of those available to pass.

The Recovery Program and ad-hoc advisory committee initially decided on 100-year frequency, 0.5-mm size and 100 percent reliability. These criteria were found to be marginally feasible and cost prohibitive. More practical criteria consisting of 100-year frequency, 2.38-mm and 90 percent (minimum) reliability was also presented in the report. This report set the stage for developing more precise technical criteria for reducing the impact of and controlling non-native fish by separation. Similar standards of separation also appeared in U.S. Fish and Wildlife Service Publications (U.S.D.I. 1998), which mentions 50-year frequency, (U.S.D.I. 1996) which mentions a 50-year frequency, and a CDOW publication (CDOW 1998), which describes a 50-year frequency and 2.38-mm screening. None of the institutional references that we reviewed define reliability as a criteria.

Some precedent for fish separation of this nature was established with the recent extensive study and resulting fish separation barrier which was constructed at Highline Dam/Reservoir, a Colorado River Basin off-stream site near Grand Junction, Colorado. A narrative describing this project is in Appendix B.

At the initiation of the Elkhead fish separation project, an advisory committee was formed with the intent of following a similar pre-design process as was conducted for the Highline fish separation project. An initial meeting of that group was held on December 7, 2000, during which the following major issues were discussed:

- Fish separation design criteria (frequency, size, and reliability) for Elkhead considering the previously described institutional criteria and the experience at Highline
- The characteristics of Elkhead that are different from Highline and how these might impact selection of design criteria and screening options including:
 1. Routine primary outlet and service spillway discharges
 2. Much larger 50-year frequency flow to screen
 3. The possible translocation of northern pike into Elkhead Reservoir
 4. Different physical characteristics
 5. Acceptability of incidental killing of game fish
 6. Current technology of fish nets (minimum size limitation)
 7. Need to also screen the dam's primary outlet
 8. Provisions for separation should reservoir enlargement be pursued
 9. The period of the year and total time during which opportunity for escapement exists
 10. Higher altitude location and deeper water at Elkhead
- The need to evaluate life cycle costing without respect to the responsible entity.

The primary result of that meeting (refer to meeting summary in Appendix C) was a consensus that a state-of-the-art size net by itself plus reservoir management can meet the exclusion criteria and that a net provides a reasonably affordable solution to fish separation. This conclusion was derived partly because of DOW's monitoring at Highline. Their reports indicate an acceptable separation reliability is obtained with the net at Highline. Those in attendance at the December 7th meeting also concurred with net and management solution. It was also agreed that no further design concept issues needed to be addressed by the advisory committee. That is, the evaluation could be completed and a draft report prepared.

APPLICATION OF GUIDELINES AND STANDARDS

Fish separation criteria as applied to this project is summarized by category as follows:

Frequency – The 50-year flood frequency will be used. At Elkhead this is a snowmelt dominated event and a peak diurnal flow rate of 2,000 cfs is applicable to either the rehabilitation or enlargement project. For the enlargement project more of this flow will be going through the primary outlet as it will be enlarged to meet SEO drawdown criteria.

Size – The previously mentioned 2.38-mm spacing criteria will be applied to the primary element intended to separate fish. In the instance where the primary outlet is separately screened with a 2.38-mm spacing, the secondary device (net) in front of the service spillway will have larger 6.35-mm spacing.

Reliability – An adopted reliability of 70 percent based on the Highline Dam/Reservoir net experience and the theoretical reliability of a net.

Configuration of Alternatives

General

On the basis of the December meeting and follow-up, the following alternatives were selected for further analysis and presentation in the report:

Alternative 1 – Net only (2.38-mm, the smallest feasible net size)

Alternative 2 – Cylinder screens (2.38-mm) and net (6.35-mm)

Alternative 3 – Net only (6.35-mm, same as Highline Dam/Reservoir)

Alternatives not evaluated in detail

Alternative variations

Construction costs and life cycle costing on an annual basis is presented for Alternatives 1, 2 and 3.

Our experience with the Highline Reservoir net has given us insight on how to improve a net barrier. To be incorporated into a similar net at Elkhead Reservoir are the following:

- Deployment of surface skirt on the down gradient side of the net to maintain skirt and main net buoy line float separation
- Mount shoreline connections of net in areas where it will not become weighted by mobile shoreline material
- Install stiffeners between the main float line and top skirt float line
- Construct a net with more exact dimensions and/or a wider top skirt to minimize net deflection and submergence under spillway flow conditions
- Close gaps along float lines
- Provide for removal of floats so net can be dropped to the bottom

Spacing measurements for screens and nets can be confusing. Manufacturers of nets use a stretch dimension (the dimension from center of webbing to center of webbing of opposite corners on a rectangular grid when the net is pulled, fully closing the opening), the clear horizontal spacing on a screen, the center to center gross horizontal spacing on a screen or the net, or gross, equivalent circular opening. Unless otherwise specified in this report, spacing measurements given represent the clear horizontal dimension that a fish must squeeze through to pass the net. This is consistent with the size criteria definition previously described in design criteria.

Description of Alternatives

Alternative 1 - Net Only - Rehabilitation Project

The alternative of a net only is consistent with the design criteria previously described, is reasonably economical, and is the expressed preference of the advisory committee. The net can screen both the service spillway and the primary outlet structure as a single installation. In addition, net manufacturing refinements now permit openings as small as 2.38-mm without significant loss of net strength, and development of such size nets is still subject to ongoing technological refinement.

There are two primary elements to be considered in the installation of a net: anchor construction, and net fabrication and installation. Reservoir bottom anchors provide the net foundation. This requires a survey to be conducted along the precise net alignment along the floor of the reservoir. Once anchors are installed, anchor buoys are placed for easily locating the anchors during the installation and replacement of the net. In addition to floor anchors, side dam slope net apron/footings provide the primary support for keeping the net in place. Net fabrication and installation includes a center net support, additional stiffeners and refinements to the net to ensure that the top of the net does not pull the net buoys below the water surface and allow fish passage. Boater and public safety require above water navigation safety buoys and fencing around the anchors/footings. The design of the net is based on a 2.38-mm Spectra-Dyneema Raschel Knottless (Redden Nets) weaved net sized for a velocity of 0.3 fps with 60 percent open area and a 50 percent clogged condition. To achieve this velocity the net would be placed approximately 190 feet from the service spillway and would have an area of 21,500 square feet and a top length of 620 feet.

Alternative 2 - Cylinder Screens and Net - Rehabilitation Project

The alternative of 2.38-mm cylinder screens on the primary outlet and a 6.35-mm net protecting both the service spillway and the primary outlet was selected as the alternative closest to that described in the Miller (1997) report.

The primary outlet cylinder screens, the Starr Ditch screen, and the service spillway net together provide for fish separation in this alternative. The physical components of the primary outlet screens include the manifold structure and the pneumatic backwash system. Five cylinder screens are needed for a 170 cfs discharge through the 36-inch diameter primary outlet pipe. These 2.38-mm screens are 4 feet in diameter and 8 feet long and would be mounted onto a 40-foot long concrete manifold structure. The screens are to be cleaned by a manufacturer-designed pneumatic backwash system. The Starr Ditch has a discharge of 10 cfs and assumed velocity of 0.4 fps with 50 percent open area. Three 4 foot by 4 foot screen panels with 2.38-mm openings and a concrete frame structure mounted

around the outlet gate are required. The primary physical components of the net in this alternative are the same as Alternative 1 except the net opening size which changes to 6.35-mm in this alternative. Although the net weave is 6.35-mm for Alternative 2 versus the 2.38-mm in Alternative 1, the physical construction components, design velocity with percent open and clogged areas, area, and surface length of the net are the same. The construction cost decreases because of the change in net fabrication.

Alternative 3 - Net Only- Rehabilitation Project

All physical components of Alternative 3 are the same as Alternative 1, except the net opening size which changes to 6.35-mm in this alternative. This is the same net opening size as installed at Highline Reservoir.

Enlargement Project

The alternatives were also evaluated for their suitability as part of an enlargement project. After evaluation of the physical differences between the two projects, we were pleased to be able to conclude that the material differences are quite minor. No conceptual changes were needed for the fish separation measures in conjunction with an enlargement project. Physical differences and the extent that they require any special considerations are listed as follows:

- An enlarged primary outlet structure will be necessary – this will result in additional primary outlet cylinder screens with approximately twice the flow capacity
- An enlarged service spillway or auxiliary/emergency spillway will be needed to meet inflow design flood criteria – except for possible minor net geometry changes there will be no impact as the net has a 50-year frequency design criteria
- Greater water head will exist over the primary outlet – this does not have any significant impact and has a small impact on the pneumatic backwash for the cylinder screens
- Greater reservoir depth – this will require minor geometry and anchoring changes for a net but no area change as the design flow rate will remain essentially unchanged
- An enlargement project will be subject to much closer institutional scrutiny – EIS related issues may result in stricter or new criteria for the rehabilitation design; the impact of this can not be evaluated at this time

Alternatives not Evaluated in Detail

Fish Graters or Comminutors

As an option to using inlet screens to prevent entry of fish into the primary outlet structure, a device can be fitted to the outlet end of the pipe to cause fatal trauma to any fish that pass through the primary outlet structure. Fatal trauma is incidentally a result of fish passing through turbines, valves, and energy dissipaters on outlet structures, but is not predictably reliable. Experimental stationary grates specifically intended to shred any object down to 1 inch and mechanical grates that shred down to ¼ inch have been used to cause fatal trauma but are not effective on smaller egg or larval life forms. These grates or comminutors cause a head (and discharge) loss, but have surprisingly suffered few debris obstruction problems. Known applications have been for flows much less than expected at Elkhead.

Intentionally and indiscriminately killing fish is viewed as being unacceptable at this location for philosophic, political, and cruelty reasons. In addition, handling remnant fish parts involves increased operation and maintenance responsibility, an esthetically unacceptable condition, a potential organic nuisance/health hazard and a sensitive political/institutional public relations challenge. These reasons, in addition to the flow range limit and the probable high escapement rate for small life forms, eliminated this measure from further consideration.

Enlarged Primary Outlet

An additional option for fish separation at Elkhead involves reducing the frequency of discharge through the service spillway or the primary outlet sufficiently so that only one structure would have to be screened. Since the primary outlet has and will continue to have routine discharges for various reasons it will have to be screened. Since the primary outlet will have to be replaced with enlarged capacity to meet the requirements of the Colorado State Engineers Office (SEO) if the reservoir is enlarged, we evaluated the apparent feasibility of it being enlarged enough to be able to pass the 50-year flood. We also looked at the 10-year flood to see if it was reasonable to consider making a request for a change in the fish separation frequency criteria if the primary outlet could economically be enlarged to pass this more frequent event.

The current primary outlet pipe is 36-inch diameter and can handle approximately 170 cfs with the water level at the 50-year routed flood elevation. The enlarged primary outlet serving a raised dam will probably be 48-inch diameter (to meet drawdown requirements) and handle approximately 345 cfs with the water level at the 50-year routed flood elevation. The 50-year routed flood flow is approximately 2,000 cfs for either the existing or enlarged dam scenarios. This flow is about 10 times the maximum flow that would otherwise be used to size the primary outlet. This would require approximately a 10-foot diameter outlet pipe, which is prohibitively large and expensive, especially since a service spillway is still necessary anyway. Since floods more frequent than the 100-year event are controlled by snowmelt events and the snowmelt flow-frequency curve is very flat, the 10-year flood is approximately 1,500 cfs. This number is also very large and would require approximately a 9-foot diameter outlet pipe, which is still prohibitively large and expensive.

Drum Screens

Drum Screens have been used with a good level of success (85 percent reliability) for fish separation and are quite economical. They are best applied to controlled flow, limited head and warm season operation such as for irrigation ditches. They could potentially be placed in either the spillway approach area or in the stream channel downstream of the dam (where they would screen flow from both the service spillway and the primary outlet). Unfortunately, Elkhead must be able to screen flow year-round including occasionally under floating ice, debris and sub-freezing conditions. Elkhead must also be able to handle flows from 16 cfs to 2,000 cfs with a corresponding head range fluctuation. At 2,000 cfs flow is in the floodplain fringe as well as the channel, creating potentially extensive flow control issues for any structure downstream. In addition, drum out-of-roundness and perimeter seals limit the passage effectiveness to ¼ inch (6.35-mm) square openings on an 11/32 inch (9-mm) pattern effective opening.

Fixed plate screens could be used in the same locations as drum screens but they require continuous cleaning in addition to the other limitations of drum screens. Downstream screens require fish handling. Both types of screens in the spillway approach area present an unacceptable potential obstruction to flood flow.

It was, therefore, concluded that screens of this type are not suitable for use at this location as a primary separation device.

Because of the interest expressed in drum screens by fishery professionals associated with the project the analysis was taken one step further to include some conceptual cost information. Drum screens situated in the left downstream floodplain fringe would cost approximately \$5.5 million or approximately 6 times the cost of a net alone. A Coanda style screen in the upstream service spillway approach area would cost approximately \$4 million or approximately 4.5 times the cost of a net alone. Both of these facilities and their costs include an allowance for some duplicity reflecting units being out of service for repair or maintenance.

Higher Velocity Net

The use of a smaller overall size net, which protects only the service spillway, was investigated. This type of net is potentially physically feasible at Elkhead. This shallower depth, more tightly strung, more frequently anchored and higher buoyancy net would be designed to tolerate higher velocities (up to 2 feet/second) in a smaller flow area. It is expected that net deflection, net submergence and net strength will be particularly significant issues for such an installation. In addition, frequent net cleaning would have to be practiced to avoid a head differential at the net and to avoid higher clogging-related stresses on the net.

Such a net would be experimental, as little data is available for this type of installation. Model or prototype testing should precede actual installation.

Alternative Variations

General

A variety of suggestions for fish passage/separation were brought up by the advisory committee for further consideration. Where these ideas could not be incorporated into the alternatives they are more fully described in the following narrative.

Net Variable Size Mesh Opening

To reduce overall net size, increase the percent open mesh area and reduce the net clogging characteristic, we evaluated constructing a net with a transitioning or two-tier opening size. This net would have large (25-mm square) openings in the bottom half of the net to exclude the larger fish expected to reside at greater depths transitioning to small size (6.35-mm or 2.38-mm square) openings at the surface to exclude the more shallow dwelling sac-fry size fish. This type of net can be constructed with some savings in cost in comparison with a conventional single size opening net. Unfortunately, the extent of escapement from the reservoir via the primary outlet and service spillway respectively is unknown so the value of such a variable opening net is unknown.

Electrical Barrier

To eliminate the potential flow obstruction of a net in the spillway entrance area an electrical barrier which would repel fish trying to enter or terminate fish which pass was further evaluated. Electrical barriers can be configured to repel fish in a spillway approach very effectively (more than 80 percent success). Unfortunately, weak or injured fish, passive life forms or stunned fish will pass the barrier. Since a minimum of 3 minutes of electrical field contact time is required to reliably cause fatal trauma, an electrical barrier cannot be relied upon for this purpose at this location. Fish will also pass the barrier during power failures or when it is otherwise out of operation. An alternate application of an electrical barrier which involves shocking, floating, collecting and moving stunned fish back to the reservoir is also possible, but this requires fish handling as an additional operation and maintenance cost.

Combination Systems

While reliability is apparently not a definitively explained criteria for separation, composite or combination systems can be used to achieve a target reliability greater than one device alone can achieve. For instance, a net with 75 percent reliability can be combined with an electrical barrier with 85 percent reliability to limit service spillway escapement to more than 95 percent reliability.

$$0.75x + 0.85(x - 0.75x) = 0.96x$$

Making provisions for the addition of a second barrier (if the first is not as effective as expected or if greater reliability is needed) is a way to continue with experimental separation systems while more experience is gained or until more information on escapement is available. A double system, for example, can also cover periods when one system is not functional or when one is more effective under certain conditions (e.g. time of the year or fish life stages), and thereby achieve greater reliability.

ALTERNATIVES COST EVALUATION

General

Fish separation is part of both the dam rehabilitation project, for which preliminary design is underway, and the storage enlargement/dam raise project, which is in the conceptual engineering stage. The fish separation features of each alternative are further described by line items in the following cost estimates divided into construction elements and primary physical components of each alternative. We have assumed that construction of the fish separation features will be included as part of the overall rehabilitation or raise project. Cost assumptions, not included items, line item cost estimates and annual cost comparison information are presented in this section of the report.

Assumptions

The costs presented reflect the following assumptions:

- The Engineering News Record Construction Cost Index (ENR-CCI) of 6286 of April 2001 was used as the basis for the cost estimate
- Totaled items include a 20 percent contingency allowance (this accounts for unknowns in site conditions, minor changes in components and unit price uncertainty)
- Totaled items include a 10 percent unlisted items allowance (this accounts for items that individually account for less than 5 percent of the construction cost and all small items combined together; this includes the components of construction that are so small in price or quantity that they are not considered major components for construction)
- Dam rehabilitation and fish separation are accomplished at same time as part of the same construction project for maximum economy. The current cost estimate reflects only additional costs to accommodate fish separation measures.
- Dam raise and fish separation are accomplished at same time as part of the same construction project for maximum economy. The current cost estimate reflects only additional costs to accommodate fish separation measures.
- The maintenance barge is shared with two other undefined projects (1/3 of its capital cost is in the estimate)
- On an annual cost basis, each line item cost has an approximate life cycle and requires eventual replacement. The number of life cycles was estimated for a 50-year period and their 2001 price converted to an annual cost value using a 7 percent interest rate. Inflation was not considered. Annual costs are not absolute and are only comparisons between alternatives.
- The average annual operation and maintenance cost are estimated based on 2001 costs for each of the elements needed to operate the respective separation device.

- No secondary or duplicate separation devices (such as an electrical barrier) are included in the cost estimate.
- The dam raise design and construction cost should include the physical provisions necessary to accommodate a second or duplicate separation device, as appropriate.
- Table numbers may not add up exactly because standard U.S.B.R. significant digit numerical rounding rules have been applied.

Items not Included

Factors that may affect total project cost, but which are not included in this report are:

- Inflation (forward pricing not used)
- Land or right-of-way cost
- Increase in storage
- Horizontal or vertical grade adjustments or rehabilitation improvements to the County road outside of the dam limits (assumed to be a County responsibility)
- Environmental mitigation
- City staff, time, and resources (for example, to dewater the reservoir to the outlet structure level)
- Easements and costs associated with downstream land and powerline use

Construction Cost Estimates - Rehabilitation Project

Alternative 1 - Net (2.38-mm) Only - Rehabilitation Project

Anchor Construction	
Anchor Installation, Survey, and Buoys	\$58,200
Dam Slope Net Apron/Footings	\$59,000
Mobilization, Demobilization, and Restoration	\$10,000
	SUBTOTAL \$130,000

Net Fabrication/Installation	
Net Fabrication	\$488,000
Net Installation	\$5,300
Safety and Four Sided Buoys	\$13,300
Reinforced Center Support	\$6,000
Stiffeners (b/w Main and Top Skirt) and Other Net Refinements	\$12,000
Fencing	\$3,200
Mobilization, Demobilization, and Restoration	\$30,000
	SUBTOTAL \$560,000

Unlisted Items	\$69,000
Contingency Items	\$138,000
Construction Cost Total	\$900,000

	Annual Cost
Construction	\$160,000
O&M	\$120,000
Total Annual	\$280,000

Alternative 2 - Cylinder Screens and Net (6.35-mm) - Rehabilitation Project

Anchor Construction	
Anchor Installation, Survey, and Buoys	\$58,200
Dam Slope Net Apron/Footings	\$59,000
Mobilization, Demobilization, and Restoration	\$10,000
	SUBTOTAL \$130,000

Net Fabrication/Installation	
Net Fabrication	\$318,000
Net Installation	\$5,300
Safety and Four Sided Buoys	\$13,300
Reinforced Center Support	\$6,000
Stiffeners (b/w Main and Top Skirt) and Other Net Refinements	\$12,000
Fencing	\$3,200
Mobilization, Demobilization, and Restoration	\$20,000
	SUBTOTAL \$380,000

Cylinder Screen Fabrication/Installation	
Cylinder Screen Fabrication	\$69,000
Screen Manifold Structure	\$83,000
Pneumatic Backwash System	\$5,000
Mobilization, Demobilization, and Restoration	\$10,000
	SUBTOTAL \$170,000

Starr Ditch Screen Fabrication/Installation	
Screen Fabrication	\$12,500
Screen Frame	\$2,000
Mobilization, Demobilization, and Restoration	\$1,000
	SUBTOTAL \$15,500

Unlisted Items	\$70,000
Contingency Items	\$140,000
Construction Cost Total	\$910,000

	Annual Cost
Construction	\$140,000
O&M	\$125,000
Total Annual	\$265,000

Alternative 3 - Net (6.35-mm) Only - Rehabilitation Project

Anchor Construction	
Anchor Installation, Survey, and Buoys	\$58,200
Dam Slope Net Apron/Footings	\$59,000
Mobilization, Demobilization, and Restoration	\$10,000
	SUBTOTAL \$130,000

Net Fabrication/Installation	
Net Fabrication	\$318,000
Net Installation	\$5,300
Safety and Four Sided Buoys	\$12,800
Reinforced Center Support	\$6,000
Stiffeners (b/w Main and Top Skirt) and Other Net Refinements	\$12,000
Fencing	\$3,200
Mobilization, Demobilization, and Restoration	\$20,000
	SUBTOTAL \$380,000

Unlisted items	\$51,000
Contingency Items	\$102,000
Construction Cost Total	\$660,000

	Annual Cost
Construction	\$120,000
O&M	\$120,000
Total Annual	\$240,000

Cost Summary

Alternatives 1, 2 and 3 have total construction costs of \$900,000, \$910,000, and \$660,000 respectively. The annual cost of construction (over 50 years and at 7 percent interest) equates to \$160,000, \$140,000, and \$120,000, respectively. The total annual cost of construction, and operation and maintenance is \$280,000, \$265,000, and \$240,000 for alternatives 1, 2 and 3 respectively.

Enlargement Project

Separate line item construction cost estimates and an annual cost estimate were not prepared for fish separation facilities as part of an enlargement project. This is because the facilities are very similar to those for a rehabilitation project and detailed cost evaluation of an enlargement project was not part of the original scope of work. We did, however, conceptually evaluate how the enlargement project could impact fish separation construction costs.

The nets that appear in both alternatives would have some geometry changes to maintain the same flow area but these would be minor, probably resulting in less than 10 percent total cost increase. The primary outlet screens will be higher in cost due to the greater discharge created by the increase in the diameter of the primary outlet pipe (36 inch to 48 inch). The

screens will be the same size as in Alternative 2, but the number of screens will increase and will require a larger manifold structure. In addition, since these alternatives have not been studied in detail, a 5 percent higher contingency allowance would be applicable.

Because costs to install the fish screening in conjunction with the 15-foot dam raise are very similar to the costs in conjunction with the rehabilitation project, we calculated those as percentages of the fish separation facilities for a rehabilitation project. The probable higher cost of both Alternatives 1 and 3 could be reflected by a 5 percent higher contingency or a total construction cost of approximately \$950,000 and \$690,000, respectively. The probable higher cost of Alternative 2 could be reflected by a 26 percent higher cylinder screen cost and 5 percent higher contingency or a total construction cost of approximately \$1,250,000.

MANAGEMENT ISSUES

Reservoir Management Practices

Implementing Elkhead Reservoir non-structural management practices that can help prevent non-native fish escapement is part of the fish separation plan and should include the following:

- Regulate reservoir levels during periods the service spillway does not have to be spilling to 1 foot (min) below the spillway sill. This will prevent wind tide or wave splash spills and to provide for a small amount of reservoir flow attenuation for minor rainfall events.
- Reduce the frequency of surface spills and the escapement of small life forms by passing as much flow through the primary outlet as possible, especially during the post spawn period for warm water fish (May 1 through end of spring snowmelt runoff).
- Drawdown reservoir more during the late summer through early spring period to make use of outlet capacity when it would be flowing at less than maximum capacity and to allow storing some spring runoff in reservoir that otherwise would flow over the spillway.
- Coordinate Elkhead Reservoir operations with the operations of other facilities and other basin practices that are intended to minimize the impact of non-native fish on endangered fish (such as timing of releases, timing of unavoidable escapement, etc.).

In addition, management, with little or minimal capital costs can be used to minimize the impact of aperiodic intentional unscreened water releases involving human intervention. Such management involves minimal or no capital costs. It requires close operator attention, care in timing and possibly implementation of temporary screening provisions. Unscreened water releases could occur under conditions of:

- Rapid reservoir drawdown
- Temporary removal of gates, screens, net, etc. from service
- Operational testing or inspection of gates, screens, net etc.
- Construction stage operations

ADDITIONAL INVESTIGATIONS

Unfortunately much is still unknown about the extent that non-native fish actually escape or could escape from Elkhead reservoir and provide a threat to native fish. To a lesser extent, there is also some uncertainty concerning the prospective physical success of fish separation. As a result, the actual benefit of fish separation for the cost involved is very difficult to estimate. The expected benefits will likely be cumulative as more separation projects are implemented basin wide and are largely qualitative versus economically quantitative at this time.

While it may not be prudent to delay implementation of fish separation measures at Elkhead, neither does it make sense to install expensive measures especially capital cost intensive features, which may do little to actually solve a problem that is of unknown severity.

We believe that a more physically effective and cost effective fish separation project will result if the following issues are more thoroughly investigated:

- The extent to which non-native fish escaping Elkhead Reservoir survive, reproduce, etc. and thus provide a threat to endangered fish.
- The extent to which non-native fish escaping Elkhead Reservoir contribute to the overall threat from all sources to endangered fish in the basin.
- The total numbers of non-native fish by type and size classes which are available to escape and actually do escape.
- The temporal pattern and means of escapement (via service spillway and primary outlet respectively) for the different classes of non-native fish.
- The value of a more reliable separation system (more than 95 percent effective) for a more frequent event (e.g. prohibiting nearly all escapement but, for a shorter period of time say every 10 years).

CONCLUSIONS

1. Fish management will likely be required as part of the proposed dam rehabilitation and storage enlargement at Elkhead Dam/Reservoir. Control measures will restrict the movement of non-native sport fish, keeping them in the reservoir and out of Elkhead Creek where they could move downstream to compete with endangered fishes in the Yampa River.
2. Fish management will likely take the form of physical fish separation devices and reservoir management at the dam to prevent escape, or eradication of fish from the reservoir.
3. It is technically feasible to install fish separation devices in conjunction with a dam rehabilitation or a storage enlargement project and, thereby, preserve a reservoir fishery while protecting endangered fishes in the Yampa River.
4. Estimated costs for fish separation Alternatives 1, 2, and 3, for the dam rehabilitation project, are approximately \$900,000, \$910,000, and \$690,000 respectively. The annual

construction, and annual operation and maintenance cost for Alternatives 1, 2, and 3 are \$280,000, \$265,000, and \$240,000 respectively.

5. Costs for alternatives 1 and 3 for the dam enlargement project option are approximately 5 percent higher. Costs for Alternative 2 for the dam enlargement project are approximately 31 percent higher.

RECOMMENDATIONS

Based upon this report and its conclusions, it is recommended that the City of Craig:

1. Accept the report as a basis for further action on the fish separation issue at Elkhead Dam/Reservoir.
2. Adopt Alternative 1 as the basis for fish separation for the prospective dam rehabilitation project and the prospective enlargement project (15-foot dam raise).
3. With a fish screening net as the key element of all alternatives, we are suggesting using a low initial cost, short life structure. This seems especially prudent since we do not have data concerning escapement from Elkhead Reservoir nor do we know the extent to which game fish escapement presents a threat to endangered fish species. It is hard to justify the construction of a high capital cost, long life structure when the cost and benefit per fish excluded is unknown (as it is now), or is very high. At this site, alternative structures to nets are physically obtrusive in addition to being expensive. Improvements in the knowledge of the fishes and separation technology will continue to be refined enabling us to place separation devices in the future where they can provide a documented, meaningful benefit at a reasonable cost and to modify those we build now to be more effective, if needed. A low initial cost solution allows the option of adding a supplementary separation device if the initial device falls short of achieving the expected results. It also avoids exhausting limited financial resources to correct a problem that may be less severe than currently assumed.
4. If an enlargement project proceeds, and it includes replacement of the primary outlet structure, the primary outlet structure should be designed to be easily, subsequently modified to be fitted with a cylinder screen manifold structure.
5. If none of the screening alternatives is acceptable, the City can adopt an interim or permanent policy of periodic eradication of fish from the reservoir using a fish toxicant such as rotenone to eliminate this source of competing species. A policy of this nature will have obvious public relations ramifications that will require proactive consideration.
6. Complete the "on hold" dam rehabilitation preliminary design project as the basis for conclusion of the "Acquisition Agreement" between the City and the DOW.
7. Postpone the final design of the dam rehabilitation option until definite action is taken on the enlargement project.
8. Translocation of northern pike or stocking of any fish species other than trout should be strictly avoided until the effective means, costs, and responsibility for managing the separation of these species are determined and agreements between the respective

entities are made. Allowing translocation or stocking would require prior construction of separation measures and eliminate other options (such as fish eradication or delaying in constructing separation measures while further study occurs) from consideration.

9. The City should identify sources to finance the capital costs and the respective operations and maintenance costs of the subject fish separation project.
10. Continue to study the characteristics of the Elkhead Reservoir native/non-native related fish interactions, especially as might be affected by the separation program suggested in this report.

REFERENCES

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U.S. Department of Interior Fish and Wildlife Service, 1998. "Endangered Species Act Compliance For Ponds Proposed in the Upper Colorado River Basin," January.

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APPENDIX A

Endangered Species Background

The Endangered Species Act which was passed by the U.S. Congress in 1973 (and modified in 1978, 1982, and 1988) basically directs the Federal government to conserve listed species and their critical habitats and prohibits Federal action which would jeopardize a listed species' existence. Federal action consists not only of direct Federal construction activity, but applies broadly to any activity by others, which involves a federal funding or permitting process. Administration of the Act is through a consultation process with the U.S. Fish and Wildlife Service (Service) or the National Marine Fisheries Service in the case of marine species.

In the late 1970s the Service concluded that all water depletions in the Upper Colorado River Basin were "jeopardizing" endangered fish. The potentially significant, long range impact of this jeopardy decision encouraged the Colorado Water Congress to implement a "Recovery Program" which would recover the endangered fish while allowing water development activities to continue. Participants in the Recovery Program include the states of Colorado, Wyoming and Utah, the Service, the Bureau of Reclamation, Western Area Power Administration, Wyoming Water Development Association, Utah Water Users Association, and environmental organizations. Funding of the Recovery Program is from the participants and a "new project" fee based on net water depletions.

APPENDIX B

Highline Fish Separation - A Condensed Project History

General

One of the off-stream reservoirs which was identified by Recovery Program as a potential source of competing non-native fish is Highline Reservoir, and artificial impoundment on Mach Wash which is tributary to the Colorado River downstream of its confluence with the Gunnison River. This facility was one of two off-stream reservoirs examined as prototype facilities for implementation of fish separation measures (Miller 1997). The State of Colorado (Division of Wildlife) owns this facility and it is operated by a sister agency (Division of Parks and Outdoor Recreation, DPOR) for recreation, including sport fishing for non-native fish. Sport fishing is supported through a stocking program, which now is governed by a non-native fish stocking policy that was developed to minimize conflict between non-native sport fish and endangered fish.

A fish separation project at Highline Reservoir was first evaluated by Miller Ecological Consultants (Miller 1997) and Ayres Associates. The District subsequently retained Ayres Associates to develop an acceptable fish separation plan for Highline Reservoir. Ayres also provided technical services in the preliminary design, final design and preparation of plans and specifications of the selected barrier net. Funding for the design and construction of the fish separation project was provided by the U.S. Bureau of Reclamation through the Recovery Program. An ad-hoc committee called the Highline Advisory Workgroup was formed to ensure that all the interested parties would have input and be kept informed on the progress of the project. This group began the fish separation evaluation at Highline using the Miller (1997) report option "exclusion to current technology" as the technical criteria. At Highline this initially meant reconstructing the primary outlet and installing cylinder screens with 2.35-mm openings on the new intake. The service spillway was to be protected with a barrier net with 6.35-mm openings. A net with only 6.35-mm openings, reflecting a state-of-the-art net, was proposed for the service spillway due to cost considerations and the understanding that the primary outlet handled most of the flow. This design concept was carried forward into the project design process. A minor revision to the project design criteria was decided upon at the time the design was initiated in order to be consistent with the Colorado Division of Wildlife procedures for stocking non-native fish in the Upper Colorado River Basin, which requires containment of fish in floodplain ponds up to a 50-year event instead of the 100-year event.

After the consultant and committee reviewed the fish separation plan suggested by the Miller (1997) report, in view of more detailed site conditions, they realized that it would be very difficult to reconstruct the primary outlet and install screens, because the intake to the outlet is covered with about 20 feet of sediment. The sediment would have to be removed before the outlet could be reconstructed, which would mean a public relations problem for both the Recovery Program and the DPOR. In addition, normal reservoir operation was found to actually be via the service spillway rather than the primary outlet. Other alternatives for fish separation were therefore explored.

In response, Ayres completed a conceptual design and cost estimate for an alternative of providing an inclined (Coanda wedge-wire) screen in the creek downstream of the reservoir to screen flow from both the primary outlet and the service spillway. The barrier would involve installing a large concrete structure with screens that would cost more than \$650,000. This cost did not include dealing with the captured fish, which would have to be disposed of or returned to the reservoir. Additionally, space for such a structure did not appear available on State land downstream of the dam. This alternative was felt to be excessively costly from both a capital cost and operation and maintenance standpoint.

A subsequent alternative involving a change in design criteria was then suggested. This involved placing a barrier net in the service spillway and limiting releases through the primary outlet using management techniques without a permanent screen on the outlet. As part of the management techniques, the committee agreed to operate the primary outlet once a year for 1 1/2 hours for dam safety operations and during emergency situations. A temporary net or screen will be installed downstream the dam for when the outlet is used. This net has not been selected to date. Normal fish netting procedures employed by CDOW have been suggested for use.

In order to ascertain the acceptability of this solution, two memos to the Recovery Program Participants were posted through the Recovery Program list server, one on August 20 1998, and one on December 5, 1998, summarizing committee recommendations, the operational limitations such as outlet screening and the release of 5 acre-feet of water discharged at the net annually, and requesting any comments. Very little response (negative or positive) was received in response to these memos. Another similar memo posted on November 4, 1998 also received little response. The committee proceeded with the plan to place a barrier net with 6.35-mm openings near the service spillway that will protect up to the 50-year flood.

The barrier net plans and specifications were completed in January 1999. Four contractors submitted bids on February 23, 1999. The bids ranged from \$198,000 to \$609,100. The contract was awarded and the net constructed. The net went into operation in the fall of 1999. In the interim the DOW has evaluated its performance and has found it to be operating in accordance with the expected level of exclusion and has made valuable suggestions for improvements.

Net Characteristics

When changes in dam operation reduced the need to screen the primary outlet, such screening was eliminated. The barrier net then became the primary fish separation measure. Therefore, for better performance its area was increased to handle the same flow rate at a lower approach velocity. The original net had been designed (Miller 1997) for a velocity of 2 feet/second for more infrequent flows. Most fish could die or be harmed by impingement on the net at this velocity. The velocity was decreased to <0.3 feet/second (0.18 actually) when it was decided to use the larger net across the service spillway for routine flow handling, the operation preferred by DPOR.

Nylon and Spectra-Dyneema polyester blend were considered for the net material. The more expensive Dyneema netting was selected because of its high strength and resistance to abrasion. This specific type of net has no knots, making it stronger. The net is manufactured in 25 foot panels, which are attached to a ribline. Should design parameters be exceeded, the barrier net is designed to fail in the middle of the 25 foot panel, leaving the ribs, top and bottom lead intact. Once the net fails the stress will be taken off of the net

framework and it will remain in place attached to the bottom anchors. This is to avoid fouling of the spillway by the net if it were to fail as a unit. The net has "skirt" at the top and bottom to help limit the number of fish escaping. The skirts extend perpendicular to the net about 5 feet; the top skirt floats and the bottom skirt is weighted down at the edge so fish cannot swim under it. When the net is not stretched, the net opening is 5.5-mm by 6-mm. The gross net pattern is 7-mm x 9-mm. The net has a 12-mm stretch, resulting in a 8 +/- mm by 0 +/- opening. Each individual strand of the mesh has a 95-lb breaking strength. The buoy system which floats the net consists of 85-ounce buoys for the main panel and 23-ounce buoys for the skirt.

Anchor Characteristics

Manta Ray anchors were selected for the net anchoring system because they are reliable, relatively inexpensive and easy to install underwater. They are driven into the reservoir bottom to the proper depth, then the base is spread out and twisted to provide resistance when tensioned. The anchors are approximately 25 feet apart, which corresponds to the ribline spacing on the barrier net. Other anchors considered were steel pilings and concrete slabs, both of which would be more expensive and would require more labor.

The force on the anchor is approximately equal to the maximum net flotation and is a function of the net weight, anchor spacing and depth below the water surface. Each anchor has to hold at least 3,000 lbs of uplift. The Manta Ray M3 can hold 10,000 lbs uplift when properly installed.

Test pits and borings were made prior to the dam modification in 1997 to analyze the stability of the dam. Several of those test pits were in the area of the proposed net and were used for the purpose of net design. Sand with varying amounts of gravel and fines were encountered near the eastern side of the net. No test pits were dug near the western edge of the net. Because of the uncertain soil conditions the anchor manufacturer recommended that the anchors be installed at least seven feet below the bottom of the reservoir.

The advisory group also discussed lowering the reservoir so the anchors of the net could be installed in the dry. The DPOR felt that avoiding the public displeasure associated with this drawdown would justify the extra cost to install the anchors in the wet.

APPENDIX C

Meeting Summary

Date & Time:

Thursday December 7th
10:00 AM to 3:00 PM +

Location:

Colorado River Water Conservation District Office
201 Centennial St. Suite 203
Glenwood Springs, CO 81602
Location contact: Ray Tenney (970) 945-8522

Subject:

Advisory committee meeting to discuss analysis and design of an adequate fish management/containment system to separate endangered and sport fish at Elkhead Reservoir. This is an update to the report "Upper Colorado River Basin Recovery Implementation Program: Feasibility Evaluation of Non-native Fish Control Structures" and part of preliminary design now being completed for dam rehabilitation (and possibly enlargement).

Attendees:

Pat Martinez, CDOW
Dave Langlois, CDOW
Ray Tenney, CRWCD
Jim Ferree, City of Craig
Sherman Romney, City of Craig
Bill Early, City of Craig
Bob Norman, USBR

Terry Stroh, USBR
Robert Muth, USFWS
Gerry Roehm, USFWS
Chris Foreman, CSPOR
Doug Laiho, Ayres Associates
Jon Radloff, Ayres Associates

- The meeting began with a description by Doug Laiho of the history of many of the issues at Elkhead as well as a history of the fish management/containment effort at Highline Reservoir. Theoretic criteria had been a 3/32" (2.38-mm) opening size, a 50-year design frequency, and a 90 percent reliability, or "exclusion." The agreed-upon values for the net installed at Highline include a 1/4" (6mm) opening size, a 50-year design frequency, and a 60 percent exclusion. This net was designed to be used in conjunction with a fish management program and is still considered experimental. The fish management/containment at Elkhead was originally envisioned as the second of three prototype applications in Colorado to apply fish separation.
- Life cycle costing of this type of net has not been evaluated.
- Behavioral techniques such as flashing lights or electronic fields are not considered positive enough control to be effective.

- The initial presumption is to use a fish net at Elkhead, but the design criteria must be revisited. In addition to opening size, design frequency, and exclusion, structural velocity versus impingement criteria guidelines must be determined (for net and screens).
- Other options considered at Elkhead include:
 - If outlet has to be replaced, go to a 10-year design frequency with cylinder screens and no service spillway protection
 - Cylinder screens on the primary outlet with electrical barrier in the service spillway
 - Cylinder screens on primary outlet with inclined screen, drum screen, or coanda screen in spillway floor or crest.
- Ray Tenney began organizing the issues being discussed as a "Fact and Issues" table on the dry erase board.

FACTS:

Elkhead drainage basin area is 204 square miles

Spillway capacity must be modified with enlargement of the reservoir

Should the design flow be 2,000 cfs (Q_{50})?

ISSUES:

Should the outlet structure be screened?

What should the net opening size be?

Should impingement/killing of game fish be allowed?

- There was a comparison of Elkhead and Highline physical characteristics.
- Pat Martinez recommended ¼" (6mm) net opening size as standard. The resulting 60 percent target exclusion is more realistic than 90 percent from a 3/32" (2.38-mm) opening.
- Would it be beneficial for Ayres Associates to do a cost-benefit analysis for a net and outlet screen vs. impingement?
- Ray Tenney posed the question: Is the net going to give us (collectively) what we want?
- Pat Martinez gave a presentation on the Highline fish screen. It generally covered maintenance issues and fish counts in and downstream of Highline Reservoir. It brought up some additional information that was not available at the time the Highline net was designed, illustrated some of the "lessons learned" for the first year of the net being in place, and discussed some of the criteria which were and were not met by the net. Some of the issues discussed include:
 - Wave action pushes gravel on to the net at the shoreline (north or west side only), keeping the net from raising completely. This creates small gaps between the floats where fish (probably only very small fish) could pass.
 - 125 cfs caused the main floats to pull down (submerge). This occurred at a time when algae was on the net.

- Maintenance cost for the first year was estimated at approximately \$15K (\$10K + fed. Share of \$5K).
- Colorado Parks would like to have a "buoy maintenance barge" (\$18K, not included in project cost). This makes it possible to easily clean the top 10 feet of the net.
- Algae collection on the net is an important maintenance issue. The net algae growing season may be more important than light penetration. Highline has a clarity of less than 3 feet, but there was still a lot of algae accumulation on the net. The net can be cleaned to near new conditions with a pressure wash.
- There was no damage to the net as a result of leaving it in the water over the winter.
- The top skirt tends to stay pushed up against the main net float line. Tying the skirt floats to the warning buoy line did not work well and also prevented access to area between the buoy line and the net. 1-1/2" diameter PVC stiffeners, kept in place via skirt tension, were used to keep the top skirt separated from the main float line, which worked well. The question was raised whether the top skirt should be designed to float on the downstream side of the net versus the upstream side, theoretically keeping the skirt separated from the main floats with no other modifications needed. This design modification would probably not be as effective as the current design in preventing fish from jumping over the net.
- Anoxic or low oxygen level conditions should be considered in net design.
- The net had a target exclusion of around 60 percent. Preliminary counts (limited study) indicate 60 percent to 80 percent exclusion.
- There are lots of smallmouth bass (< 3" in length) in Elkhead, indicating good reproductive rates but poor recruitment. Larger fish need to be put in Elkhead.
- No northern pike were found in Elkhead.
- Bob Muth thought that the current technology/criteria (used at Highline) would be transferable to Elkhead.
- There was a discussion of varying the opening size and the design recurrence interval, with caution being given to enlargement of the opening size criteria.
- At Elkhead, the primary outlet structure will be inside (downstream) of the net, eliminating the need to screen this structure.
- Should consideration be given to a two-tiered net? Perhaps the net can be designed with a larger opening size for depths where fish may not go due to low oxygen levels? This type of net may have a smaller opening size (1/4") at shallower depths (down to 30') and a larger net opening size (up to 1") as depth increases. One thing to consider here is if the cost of manufacturing a net with two opening sizes worth the benefit, i.e. is it cheaper to manufacture a net with just one opening size rather than two?

- Operation and maintenance costs should be included in the cost estimate for the net, provided life cycle costing is done.
- There has been no effort to determine the actual value of the fishery, but it is undoubtedly important. Establishment and maintenance is necessary. These nets are being designed as part of the establishment and management of this fishery.
- Dave Langlois discussed the Yampa Basin Management Plan. The transition of northern pike to Elkhead was not mentioned but is desired. The replacement scenario preserves the sport fishery (with the alternative being no fishing) and endangered fish with public support.
- The Elkhead net design report should be stand-alone from the 1997 MEC report and should include information from Pat Martinez.
- Design should consider options such as recurrence interval, hydrographs, and exposure time to escapement for comparison.
- Design should consider the use of a "grater" or "pike-o-matic" device downstream of the net, exposing all fish that pass the net to fatal trauma. See article relating to study done at Lake Davis, CA (January 18, 2000) provided by Pat Martinez.
- It may be worthwhile to put together a list of options that were considered which could be applicable to other reservoirs.
- There should probably some type of life cycle costing done. A 50-year analysis seems appropriate. This is partly dependent on who is responsible for the various costs.

SUMMARY

The design of a fish control/management system at Elkhead Reservoir fits in to current management practices in Colorado and is desired. The design at Elkhead will be a net, similar to the one currently in place at Highline. The criteria to be used include ¼" (6-mm) opening size, 50-year design frequency, and 60 - 90 percent exclusion. No outlet structure screening is necessary since it will be located inside (downstream) of the net. Items to be considered in the design include:

- Cost and effectiveness feasibility of a two-tiered (opening size) net
- Top skirt vs. main float line separation methods
- Keeping gravel and other debris off the net when the water level is low
- Extent of algae growth which will influence cleaning and maintenance practices
- The effect of higher altitude and deeper water (Elkhead vs. Highline) on maintenance
- The use of a device downstream of the net that exposes any fish that pass the net to fatal trauma

The Elkhead net design report should be a stand-alone version, separate from the 1997 MEC report and should include information from the Division of Wildlife (Pat Martinez) relating to the net at Highline Reservoir. The report should include a list of criteria/options considered. A 50-year life-cycle cost estimate (including maintenance costs) should be performed, but care should be taken to consider who will be responsible for various costs. Design by Ayres Associates is to be completed between March 1st and March 15th, 2001.